

NYS Consolidated Funding Application # 117755

Organization Name: Village of New Paltz

Project Name: Consent Order Compliance Sewer Remediation Identified by EPG Final Report

City: New Paltz **State:** NY

Created on
July 25, 2022 - 11:57 PM
Application finalized on
July 25, 2022 - 11:55 PM

Region

Mid-Hudson

Questionnaire Questions & Answers

Location

HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities

Q_3527 US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

19

Q_928 Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

23 South Chestnut Street

Q_565 Project City

New Paltz

Q_972 Project county or counties.

Ulster

Q_568 Project State

NY

Q_572 Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

41.74621689300005

Q_573 Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

-74.08751110899993

Q_184 NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

103

Q_190 NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

42

Q_1034 Project ZIP Code. (please use ZIP+4 if known)

12561

Q_616 For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

NA

Basic

General Project Information

Q_549 Type of Applicant (select all that apply)

Village

Q_12603 Is the applicant a DBA?

No

Q_556 Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

Duns Number

Q_2655 Based on your selection from the previous question, enter your applicant ID number. (Please do not provide your social security number).

037374667

Q_969 If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

N/A

Applicant

| | Answer |
|---|-----------------------------|
| Organization Legal Name | Village of New Paltz |
| Applicant First Name | NA |
| Applicant Last Name | NA |
| Street Address | 25 Plattekill Avenue |
| City | New Paltz |
| State | NY |
| Zip Code (use ZIP+4 if known) | 12561 |
| Telephone Number (include area code) | 845-255-0130 |
| Email Address | clerk@villageofnewpaltz.org |

Contacts

| | Primary Contact | Contact Authorized to Execute Contract if Awarded | Additional Contact |
|-------------------------|-----------------------------|--|-----------------------------|
| Salutation | Mr. | Mr. | Ms. |
| First Name | Tim | Tim | Nicole |
| Last Name | Rogers | Rogers | Manco |
| Title | Mayor | Mayor | Deputy Village Clerk |
| Organization | Village of New Paltz | Village of New Paltz | Village of New Paltz |
| Street Address | 25 Plattekill Avenue | 25 Plattekill Avenue | 25 Plattekill Avenue |
| City | New Paltz | New Paltz | New Paltz |
| State | NY | NY | NY |
| ZIP Code | 12561 | 12561 | 12561 |
| Telephone Number | 845-292-0130 | 845-292-0130 | 8452920130 |
| Email Address | mayor@villageofnewpaltz.org | mayor@villageofnewpaltz.org | clerk@villageofnewpaltz.org |

Q_4199 Please select the primary sector or characterization that best defines this project.

Q_4198 Please select the secondary sector or characterization that best defines this project.

Community Development

Project Description

Q_575 Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

New Paltz must comply with a 2003 Consent Order (re-issued 2014) imposed in response raw sewage overflows caused by excessive I & I. Hydraulic overloading causes raw sewage to erupt from manholes onto streets and into the Walkkill River. A new Notice of Violation was issued in January 2022.

The Walkkill, Lower, Main Stem has impaired water quality according to DEC's WI/PWL assessment.

The Village obtained a DEC Engineering Planning Grant in 2016. The EPG project investigated and defined serious sources of I&I. New Paltz must continue replacing and rehabilitating aging sewer mains to avoid further DEC fines. As a result of EPG study findings, the Village is required to replace or rehabilitate deteriorated, inaccessible or undersized sewer mains and manholes on South Chestnut, Harrington Street and Prospect Street. These mains disproportionately contribute to I&I, sewer overflows and exceeding WWTP capacity.

Our proposed CFA 2022 CDBG project will replace 2,450 lineal feet of clay tile pipe, replace 13 sanitary manholes and reconnect 62 sewer house laterals.

This project will reduce I&I, avert further DEC fines, protect public health and improve Walkkill River water quality.

Q_976 Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

All of New Paltz is served by public sewers. The system is plagued by WWTP overloading and overflowing manholes due to I & I. Aging facilities cause hydraulic overloading upstream of the WWTP; sewage erupts on streets from manholes. Raw sewage is a Village-wide health/safety threat. Sewage has erupted from 4 manholes during storms. DEC Notices of Violation (NOV), latest dated January 2022, cite sewage erupting on Water St, Mulberry St, Broadhead Ave and Huguenot St. A 2003 Consent Order (reissued 2014) requires New Paltz to continue correcting I & I or face further fines. We must undertake more DEC mandated sewer replacement and relining. Our project is based on findings of a DEC Engineering Planning Grant completed in Spring 2019. We need to replace or reline sewer mains on South Chestnut Street, Harrington Street and Prospect Street.

Q_2366 How does your project align with the Regional Economic Development Council's Strategic Plan/Upstate Revitalization Initiative Plan? (strategic plans are located at <https://regionalcouncils.ny.gov/>)

We support the following goals of the Region's Strategic Plan:

Goal IV. Improve key regional infrastructure to make the region more business-ready (page 41)

4. Promote infrastructure investments in priority growth areas and established city or village centers to take advantage of our region's existing infrastructure. (See Goal VI)

Goal VI. Support the revitalization of our urban centers as engines of regional prosperity (page 43)

1. Target regional growth in urban centers, whose compact, mixed-use development pattern creates an opportunity for growth that is sustainable, cost-effective, energy- and natural resource-conserving, climate-friendly, affordable, and attractive to young workers. (See Goal XII)

3. Encourage a State commitment to making improvements to existing infrastructure in urban centers more cost-effective. (see Goal IV)

Q_930 Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

This project directly benefits Village sewer users, including SUNY New Paltz. Since SUNY New Paltz was approved for the Start-UP NY program, it is imperative that the wastewater facilities serving the SUNY campus remain completely functional. Start-UP NY is transforming communities (including New Paltz via SUNY New Paltz) into tax-free sites for new and expanding businesses. Now businesses from around the world can operate tax free for 10 years.

The goals of Start-UP NY coincide directly with the Governor's Global NY initiative making global trade a new focus by encouraging deals between such startup companies and foreign markets. Our proposed CFA 2022 OCR Public Infrastructure project will foster job creation by making an economic investment in the local community through replacement of aging, problematic infrastructure.

Q_929 Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Preliminary Engineering

Q_975 Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

Retain Grant Administrator - completed April 2022

Procure Engineering Services via RFQ - completed June 2022

Conduct Environmental Review (ERR) - completed July 2022

Submit application via CFA - completed July 2022

Notification of Funding Award - December 2022

Publish NOI-RROF Legal Notice - December 29, 2022

Execute Engineering Services Contract - January 2023

NYS OCR CDBG Release of Funds - estimate January 26, 2023

Project Design - January 2023 to March 2023

NYS OCR and NYS DOT Plan Review - March 2023 to April 2023

Finalize Bid Documents - April 2023

Construction Bidding - April 2023 to May 2023

Award & Execution of Construction Contract - June 2023

Construction - July 2023 to December 2023

Conduct 2nd Public Hearing - December 2023

Final Disbursement Request - January 2024

Project Performance Report - January 15, 2024

Q_12606 Does this project require State and/or Federal Environmental Review?

Yes

Prior CFA Funding

Q_12625 Has the applicant or project been awarded funding in prior CFA rounds?

Yes

Q_2362 What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

(This question is associated with your answer selection in question: [Q_12625](#))

15043, 27154, 50882, 63414, 63415, 80525, 109958

Q_4160 For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

New Paltz Village is requesting \$867,450 in CFA OCR Small Cities CDBG funds to use in conjunction with \$47,000 of firmly committed Village funds to continue addressing a NYS DEC Consent Order. No other funds will be required to complete this project.

Our \$914,450 project budget represents the entire project. It does not represent an activity dependent on any other activity in order to provide benefit to the public. This project is a stand alone project although it is a part of a long term plan to comply with the NYSDEC Consent Order by replacing and/or rehabilitating aging sewer mains at the direction of NYS DEC.

If this CFA OCR Small Cities request is not funded the Village of New Paltz will have no choice but to proceed through local bonding. The penalty for non-compliance will be

steep, if the Village does not complete the proposed project during 2023/2024 as evidence of continued Consent Order compliance it can be fined by NYSDEC up to \$37,500 per day.

The Median Household Income (MHI) of New Paltz Village is \$46,528 as per the 2020 American Community Survey 5-Year estimate. The New Paltz Village sanitary sewer user base has been calculated at 2,880 EDU's, of those 2,192 are residential.

The typical cost of sanitary service per EDU is now \$394.05 per year, composed of the following:

- Existing O&M cost of sewer utility = \$1,134,873 is budgeted for 2022/2023 as shown page 14 of 20 of current Village budget (see attached page). Per EDU cost of O & M is \$394.05; and

- Current Debt Service of sewer utility - no debt service budgeted in 2022/2023 Village budget.

Current sewer user costs are the sum of O&M and debt service charges (\$394.05 + \$0.00 = \$394.05). \$ 394.05 presently 0.85% of MHI.

The typical sewer user also pays on average \$660 per year for water service. Water charges rose substantially in 2021 as the Village completed a project to make required upgrades at its water filtration plant. That locally funded project totaled \$5,531,500 and was "all loan" without any grant assistance.

We considered two alternatives to CDBG, described below:

USDA Water & Waste - USDA RD approved our \$5,531,500 water filtration plant upgrade. Since the offer was "loan only" the Village proceeded using local bonding to save on administrative costs. The project was bid in 2017 and was completed in 2021. This \$5,531,500 water filtration plant upgrade was in addition to \$1 million the Village borrowed to replace one of its water tanks in 2012 (partially funded by OCR project #793PR72-11). Since our USDA RD water system request of \$5,531,500 received a "loan only" offer at RD's intermediate rate, it is very unlikely that USDA would offer anything other than another loan offer for this more modest \$867,450 sewer project. Again traditional bonding would be less expensive without USDA RD compliance related administrative costs.

NYS EFC CWSRF - In 2021 the Village submitted this proposed project for the IUP Multi-Year List via the EFC's PLUS system. During the latter part of 2021 EFC scored this project at 106 points. Refer to the excerpt from the 2021 Multi-Year List IUP showing our project as C3-7299-03-00 uploaded at Question_12081.

In 2022 EFC reduced the scoring line for hardship status (0% loan) to 41 points. The scoring line for a subsidized loan interest rate was reduced to 34 points. Our proposed thus qualifies for the hardship 0% interest rate.

A 28 year CWSRF loan for \$867,450 at the 0% rate would result in an additional \$30,980 in debt service per year or \$10.76 more per EDU.

Without CDBG the new cost per EDU per year would be the sum of the existing \$394.05 plus \$10.76 in new debt service. The resulting \$404.81 typical charge per EDU would be 0.87% of MHI.

With CDBG funds Village sewer users would see no additional debt service. The \$47,000 in costs beyond our \$867,450 CDBG request will be funded through Sanitary Sewer Account G8120. This \$47,000 amount is firmly committed by a Village Board motion dated may 25, 2022 and confirming letter to OCR.

Due to the completed and ongoing essential utility upgrades, water and sewer utility rates have already increased by 50% since 2012. The Village has spent \$1.1 million of its own funds to comply with the NYSDEC Consent Order in addition to the previous completed OCR CDBG projects the Village received.

Unfortunately the Village cannot pay for our proposed project out of its Sewer Reserve Fund. The "Sewer Reserve Fund" had contained \$137,000 in 2011 but \$89,000 had to be spent that year to pay for emergency repairs at the wastewater treatment facility after it suffered flooding damage from a tropical storm. As late as December 2020 the Sewer Reserve Fund contained \$40,372. On December 15, 2020 the Village had to spend \$39,969 from the Sewer Reserve Fund for emergency repairs.

With CDBG funds New Paltz Village would see no additional debt service; the cost per EDU would remain an affordable \$394.05 per year.

Standard Question

HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities

Q_12063 Application Preparer First Name

Mark

Q_12064 Application Preparer Last Name

Blauer

Q_12065 Application Preparer Email

mblauer@evenlink.com

Q_12918 Please provide the Federal Tax ID and the DUNS number and/or the Unique Entity ID for the Unit of Government serving as the Applicant.

Federal Tax ID# = 14-6002335

DUNS# = 037374667

Q_12693 Is the proposed project a Public Facility (PF) or Public Infrastructure (PI) activity? Please read Help Text for guidance.

Public Infrastructure

Q_5420 Provide a project abstract. The abstract must include formal applicant name, location of project, amount of request, general purpose of the project, and project beneficiaries. Sample text: [City, Town, Village, County Name] will use \$400,000 in NYS CDBG funds to replace [Type of Public Infrastructure/Public Facility]. The total project cost will be \$1,000,000 with \$600,000 from other grant sources (specify). The project proposes to benefit 50 low-and moderate-income persons.

New Paltz Village will use \$867,450 in CDBG funds and \$47,000 of firmly committed Village funds to replace sewer mains and manholes identified as deficient by a completed NYSDEC Engineering Planning Grant study. Replacement will occur on South Chestnut Street, Harrington Street and Prospect Street. 2,300 low-and-moderate income persons will benefit.

Q_12083 Provide a brief description over the last 10 years of the current community in which the proposed activities will be undertaken, including a summary of the community's existing overall community development needs, challenges and priorities. Describe the process used to determine those priorities.

New Paltz Village is located in Ulster County east of the Shawangunk Ridge. New Paltz is midway between New York City and Albany; a Thruway interchange provides quick access. The Village is a densely developed community on the east bank of the Wallkill River.

New Paltz is a local retail center. SUNY New Paltz is a regional education center. The Village has commercial corridors on Main Street/Route 299 and along Route 32. Small downtown businesses attract pedestrian traffic including service, food, and specialty establishments catering to tourists and SUNY.

DEC identified New Paltz as a Environmental Justice community. Poverty at 22% is the highest rate in all of Ulster County. HUD determined the Village is 55.5% low and moderate income.

The Town and Village used Focus Groups and Public Workshops to solicit public input when updating their Joint Comprehensive Plan. Workshops identified high priority goals:

1. New Paltz needs to develop more affordable housing including single and multi-family;
2. New Paltz needs to identify land that could be redeveloped for commercial and industrial development; and
3. New Paltz should encourage municipal sewer facilities as a modern method of protecting the environment and permitting sustainable commercial and residential development.

The Village has a history of overflowing manholes during high flow/storm events. The entire Village is impacted by this public health threat. Beyond the health and safety threat to residents the Wallkill River is adversely impacted by sewage overflows onto streets draining to the river. The Wallkill River, Lower, Main Stem has impaired water quality according to DEC's WI/PWL assessment.

NYSDEC issued a Consent Order in 2003 (re-issued 2014) and subject Notice of Violations - most recent January 2022. The Village must do more to eliminate the I&I by replacing sewer mains/manholes on South Chestnut Street, Harrington Street and Prospect Street. Raw sewage surfacing poses the threat of fines.

Q_12667 Provide a brief description of the proposed project including quantifiable information and any unique aspects of the proposal, the impact of the project on identified priorities including the health, welfare or safety of the beneficiaries of the project. Examples of quantifiable information could include the number, size and type of buildings, etc. If the project is part of a larger effort, describe the complete project and identify the portion proposed for current grant funding.

New Paltz has completed multiple projects to address the ongoing Consent Order by replacing or relining aging sewer mains to reduce I & I. The Village has undertaken replacement and relining using Village funds and eight (8) CDBG projects. The first six

(6) CDBG projects are completed. Those completed projects kept us current with NYSDEC's stipulations for continued progress and averted further fines.

The seventh project (#793PW115-20) addresses Orchard Lane, Elting Avenue, Lincoln Place, South Chestnut Street (portion), Overlook Road and Mohonk Avenue. The project was bid in June 2022. A construction contract will be awarded in August 2022.

The eighth project (#793PR80-21) addresses Huguenot Street, Tricor Avenue, Colonial Drive and North Chestnut Street. This project will proceed once an Archeological Phase IA study is completed in July 2022..

Despite concerted efforts the Consent Order will remain in effect until DEC is satisfied that hydraulic overloading as a result of I & I is sufficiently reduced to ensure public health and safety. New incidents of sewer overflow violations in 2013 precipitated a re-written consent order in 2014, a \$5,000 fine, a directive to investigate suspect areas of the sewer system and a mandate to continue sewer replacement/relining projects annually or face daily fines up to \$37,500.

As late as January 18, 2022, the NYSDEC issued a Notice of Violation (NOV) to the Village for the 22 sanitary sewage overflows in the collection system between October 2019 and November 23, 2021. It was stipulated in this NOV that violations of the ECL are subject to penalties of up to \$37,500 per day per violation.

As part of its ongoing efforts to satisfy the Consent Order, New Paltz obtained a \$99,888 DEC Engineering Planning Grant (EPG) in 2016 for a "Consent Order Compliance DEC Engineering Grant Project". The purpose of the EPG project was to investigate and identify remaining deficient sewers and manholes contributing to I & I.

The resulting 2019 final EPG report is included as part of our Engineering Report uploaded at Question_12764. It identified the streets exhibiting the most severely deteriorated sewer mains and manholes. New Paltz has been using the recommendations of the NYS DEC EPG final report to successively address portions of the sanitary sewer collection system most in need of replacement or relining. EPG findings were the basis for the work scopes of our two (2) ongoing CDBG projects, OCR #793PW115-20 and OCR #793PR80-21.

Our CFA 2022 project work scope is again guided by the EPG Final Report. We will address deteriorated sewer mains and mains that disproportionately contribute to I & I on South Chestnut Street, Harrington Street and Prospect Street, as follows:

South Chestnut Street

Direct Replacement of 680 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 19 Sanitary Sewer House Laterals

Harrington Street

Direct Replacement of 610 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 18 Sanitary Sewer House Laterals

Prospect Street

Direct Replacement of 1160 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 5 Sanitary Manholes
Reconnection of 25 Sanitary Sewer House Laterals

The budget for this CFA 2022 project is based on a June 2022 Brinnier & Larios cost estimate. Total costs are \$914,450. \$772,450 will replace aging sewer mains by installing

2,450 LF of new 8 inch sewer mains, replace 13 manholes, make 62 lateral re-connections, provide site preparation, traffic control and road restoration. Other costs are Engineering (\$95,000) and Administration (\$47,000). The Village made a firm commitment to contribute \$47,000 to pay for administrative costs.

Replacement of aging sewer mains and manholes identified as deficient by the EPG investigation will remove contributing factors to the overall I & I situation and move us closer to lifting the 2003 Consent Order.

Q_12687 Provide a brief description of how the project design is appropriate in capacity for the demand demonstrated including any potential future demand.

We are asked to explain how the project design is appropriate in capacity for the demand demonstrated including any potential future demand.

All of New Paltz is served by public sewers. The system is plagued by WWTP overloading and overflowing manholes due to I & I. Aging facilities cause hydraulic overloading upstream of the WWTP; sewage erupts on streets from manholes. Raw sewage is a Village-wide health/safety threat. Sewage has erupted from manholes during storms.

DEC Notices of Violation (NOV), latest dated January 2022, cites sewage erupting on Water St, Mulberry St, Broadhead Ave and Huguenot St. A 2003 Consent Order (reissued 2014) requires New Paltz to continue correcting I & I or face further fines. The Village has been fined by NYSDEC before for a Notice of Violation that occurred after imposition of the Consent Order and further fines of up to \$37,500 per day can be imposed for failure to diligently take steps to reduce Infiltration and Inflow (I & I) now plaguing the Village's sanitary sewer collection system.

We must undertake more DEC mandated sewer replacement and relining. Our project is based on findings of a DEC Engineering Planning Grant completed in Spring 2019. We need to replace sewer mains on South Chestnut Street, Harrington Street and Prospect Street.

We are proposing to undertake the following sanitary sewer replacement activities through our proposed CFA 2022 CDBG Public Infrastructure project:

South Chestnut Street

Direct Replacement of 680 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 19 Sanitary Sewer House Laterals

Harrington Street

Direct Replacement of 610 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 18 Sanitary Sewer House Laterals

Prospect Street

Direct Replacement of 1160 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 5 Sanitary Manholes
Reconnection of 25 Sanitary Sewer House Laterals

Breakdown:

\$652,450 Construction Estimate
\$70,000 Construction Contingency

\$50,000 Construction Inspection

These costs are defined by our Third Party Cost Estimate uploaded as part of our Engineering Report at Question_12674.

Our proposed project is appropriate in scale in consideration of the consequences of failing to continue making progress to satisfy the ongoing 2003 Consent Order. Moreover, these specific stretches of aging sewer main were chosen as they were identified as priority areas by our 2019 NYS DEC/EFC Engineering Planning Grant Final Report.

Our May 2022 Engineering Report considered various alternatives. The alternative entitled "installation of new gravity sanitary sewer lines and manholes and reconnecting existing users to the new and rehabilitated construction in the same location as the existing system" was chosen.

Our engineer's conclusion about alternatives considered is quoted below:

"The installation of new gravity sanitary sewer lines and manholes and reconnecting existing users to the new and rehabilitated construction in the same location as the existing system is the only available option."

A factor in choosing the selected alternative was the potential for future development. The areas that are being considered for sanitary sewer replacement will unlikely be experiencing any population growth in the foreseeable future. This is since there are existing properties that currently are utilizing the sanitary sewer that is proposed to be replaced and no new construction or population increase is anticipated in this area.

Projected costs are based on a May 2022 Cost Estimate produced by Brinnier & Larios, PC. This cost estimate is included within the report titled "Consent Order Compliance Sewer Remediation Identified by Engineering Planning Grant Final Report" uploaded to this CFA application at Question_12674. Project costs include Construction (\$652,450), Construction Inspection (\$50,000), Construction Contingency (\$70,000), Engineering (\$95,000) and Administration (\$47,000). An amount of \$874,450 is requested thru CDBG; New Paltz Village committed \$47,000 in May 2022 for all administrative costs.

We have completed our ERR uploaded at Question_12808. This is a SEQRA Type II activity. This project is Categorical Excluded for NEPA purposes. The Village is prepared to publish its NOI-RROF legal ad on December 29, 2022.

Our proposed project is an appropriate means of addressing the need because the chosen alternative can be under contract within 6 months of CFA approval. Design can be completed within 90 days since we already selected an engineering firm via a RFQ process. Design can be completed by March 31, 2023. Additionally, no time consuming permitting is required. Only NYS DOT approval is required for the sewer main replacement on Ste Road (South Chestnut Street). Our schedule allocates 60 days to this activity, March 1, 2023 through April 30, 2023.

Q_12668 Describe the method used to determine the best approach and cost-effective method to address the need. Identify the location within the preliminary or full engineering report that lists the sources and dates of third-party cost estimates including any special features that will result in an unusual or a costly design. Cost estimates should not be no more than 12 months old and must be specific to the project being proposed.

The Village of New Paltz sanitary sewer system is wholly contained within the corporate limits of the Village of New Paltz. The district serves all residents within the Village corporate bounds in addition to the State University at New Paltz which lies within the Village.

The Village's sewer collection system and wastewater treatment facility handles 100% of the sanitary sewer generated by State University of New York College at New Paltz. Since SUNY New Paltz is a participant in the New York State for the START-UP NY program, it is imperative that the wastewater treatment and collection facilities serving the SUNY Campus remain completely functional and free from inflow and infiltration to effectively provide this institution with the wastewater service it requires as an economic development initiative developed by The State of New York.

The Village sewer collection system is subject to the New York State Environmental Conservation Law and the Federal Clean Water Act. New Paltz must comply with a 2003 Consent Order (re-issued 2014) imposed in response raw sewage overflows caused by excessive Infiltration and Inflow (hereafter I & I). Hydraulic overloading causes raw sewage to erupt from manholes onto streets and into the Walkkill River. The Walkkill, Lower, Main Stem has impaired water quality according to DEC's WI/PWL assessment.

The Village has had a long history of overflowing manholes during high flow and storm events. In the winter and spring of 2003, the Hudson Valley experienced unusually high frequency of severe storm events exhibiting excessive precipitation amounts and high groundwater elevations during this period. The unusually high precipitation amounts in conjunction with aging sewers, undersized sewage conveyance pipes and siphons, sanitary sewage from the entire Village sewer collection system was unable to discharge into the sewer treatment facility located off of Huguenot Street and overflowed onto the ground surface at various locations in the Village's sewer collection system. As a result, the entire Village was impacted by this public health threat.

In response to these incidents, Riverkeeper issued a Notice of Intent to Sue the Village of New Paltz for Clean Water Act Violations and the NYSDEC (NYSDEC) issued an Order on Consent to the Village of New Paltz which was executed by the Village of New Paltz on September 7, 2003. The Order cites overflowing sewer manholes in violation of Sections 17-0501, 17-0505 and 17-0803 of the New York State Environmental Conservation Law. The Order outlined a Remediation & Restoration Schedule which the Village has been diligently adhering to the best of its capabilities to reduce inflow and infiltration throughout its sanitary sewer collection system.

Sanitary sewage backs up as a result of hydraulic overloading from I & I during storm events causing raw sanitary sewage to flow on the ground surface through private properties and public right of ways. Overflowing raw sewage causes significant public health hazard as well as property damage.

While the 2003 consent order was still in effect when the Village Department of Public Works reported sewage erupting from 4 subject manholes cited in the original Consent Order on June 30, 2013 and August 9, 2013.

The NYSDEC responded by issuing a Notice of Violation on September 30, 2013 citing that on two separate occasions, raw sewage overflowed from manholes on Water Street, Mulberry Street, Broadhead Avenue and Huguenot Street. This again was a violation of Article 17 of the Environmental Conservation Law making the Village of New Paltz subject to fines and penalties. The Notice of Violation also indicated that Village Officials including the Mayor and Superintendent of Public Works were required to attend a technical compliance meeting on November 12, 2014 at the NYSDEC Offices in New Paltz New York.

The NYSDEC issued a new Order on Consent Case No. R3-20140430-71. The Order was executed by the Village of New Paltz on October 17th 2014 and by the NYSDEC on October 20, 2014. The newly issued Order on Consent outlined a new schedule of compliance which stipulates requirements for avoiding fines and penalties amounting to \$37,000.00 for each of the two (2) violations mentioned previously.

The Village "Respondent" was also ordered to pay \$30,000 to the NYSDEC, \$5,000.00 of which was payable upon the Respondents return of the executed copy of the Order to the

Department with the remaining \$25,000.00 to be suspended provided that the Respondent strictly adheres to the terms and conditions of the newly issued Order.

Attorneys for the Village negotiated a fine of \$5,000 but the requirements of the Order still remain standing and consist of a Schedule of Compliance that outlines remedial activities and milestones. In summary, requirements of this Schedule are as follows:

- Cease and Desist- The Village shall cease and desist any future violation of the NYS Environmental Conservation Law e.g.-overflowing manholes.
- Self-Certification- All items required by the Schedule of Compliance shall be certified that the work was completed by the date indicated in the Consent Order and that it was completed in a manner as required by the Order.
- Remedial Activities and Milestones-This requirement is divided into three parts. A report of Non Compliance, A Corrective Action Plan and a Capacity Management, Operation and Maintenance Plan.

On May 24, 2021, the Village experienced an incident of sanitary sewage overflowing from a manhole at the entrance to the sewer plant. Approximately 50 gallons of sewage was discharged out of the manhole and directly into a catch basin which discharges directly into a tributary of the Walkill River. This latest sanitary sewer overflow was immediately reported to the NYSDEC Regional Office and a formal Report of Non Compliance was submitted to the NYSDEC Regional Water Engineer.

Additional sanitary sewer overflows over the two past years have been the result of untreated sewage overflowing into tributary sources of the Walkill River. A total of 22 overflows were identified from April 2019 through October 2021. These incidents are documented in the attached the Report of Non-Compliance Events.

A recently as January 18, 2022 the NYSDEC issued a Notice of Violation (NOV) to the Village of New Paltz for the 22 sanitary sewage overflows in the collection system between October 2019 and November 23, 2021. It was stipulated in this NOV that violations of the ECL are subject to penalties of up to \$37,500 per day per violation.

Following a Compliance Conference with the NYSDEC Region 3 Division of Water Engineers, the Village provided the NYSDEC with a Compliance Schedule for two proposed sewer projects as well as a NYSDEC Certificate of Compliance and a Wastewater Treatment Plant Rating Worksheet. All of these items were required by the NYSDEC as part of the Notice of Violation and were submitted prior to the required submission date.

On April 7 & 8, 2022, 4.32 inches of rain was recorded at the wastewater facility. This event led to the overflow of the facility's primary clarifiers, into the facility's stormwater system and into the Walkill River at a rate of approximately 350 GPM for a duration of approximately 8 hours. The total estimated discharge of untreated sanitary wastewater into the Walkill River was approximately 168,000 gallons.

During this same rainfall event, sanitary manholes on Mulberry Street, Mohonk Avenue, Henry W. Dubois Drive and Broadhead Avenue also experienced sanitary sewage overflows. These non-compliance events at the wastewater facility and in the collection system were reported to the NYSDEC.

New Paltz must continue to make progress to reduce hydraulic overloading from I & I to protect public health and to comply with NYS Environmental Conservation Law.

We have uploaded as one pdf document at Question_12767 the following Consent Orders, Notices of Violations and/or SPDES Compliance Schedules that are referenced above:

Item 1 - Original October 2003 NYS DEC Consent Order and January 8, 2009 Letter of NYS DEC Assistant Regional Attorney to Village Attorney;

Item 2 - September 30, 2013 Notice of Violation;

Item 3- Reissued NYS DEC Consent Order (October 2014), Copy of \$5,000 Check to NYS DEC for Civil Penalty and SPDES Compliance Schedule (March 2015);

Item 4 - January 18, 2022 Notice of Violation and February 3, 2022 Compliance Schedule;

Item 5- Reports from Village of New Paltz Department of Public Works to NYS DEC Related to Non Compliance Events, i.e. Sanitary Sewer Overflows, on April 18, 2019, October 21, 2019, October 31, 2019, November 25, 2019, February 24, 2020, May 7, 2020, August 24, 2021, September 3, 2021, October 27, 2021 and April 11, 2022; and

Item 6- Documents Related to Direct Sewage Overflow into the Walkkill River on April 11, 2022.

In addition to the incidents indicated in the Notice of Violation and Order on Consent, the wastewater treatment plant routinely exceeds its permitted discharge limit of 1.5 million gallons per day (MGD) during severe storm events. It was noted by the wastewater facility operator that the 1.5 MGD limit was exceeded 33 times from October 2019 through February 2022. This is a clear indication that inflow and infiltration continue to plague the sewage collection system in the Village of New Paltz which leaves the Village vulnerable to fines and penalties from regulatory agencies since untreated sewage is discharged into the Walkkill River every time the permit is exceeded. As all Village residents are connected to the sanitary sewer system, the need for and benefits of this proposed project are Village wide which includes the State University College at New Paltz.

New Paltz was successful in obtaining \$99,888 from the NYSDEC/EFC Engineering Planning Grant (EPG) Program in 2016 for a "Consent Order Compliance DEC Engineering Grant Project" for the purpose of investigating and identifying all remaining deficient sewers and manholes that contribute to the sources of inflow and infiltration.

The resulting 2019 final EPG report outlined findings from the investigation of sanitary sewers located in the following streets that exhibited the most severe conditions reflecting the aforementioned conditions in the greatest frequency. Of the remaining streets investigated, sewers exhibiting the greatest degree of deterioration resulting in inflow and infiltration into the sewer system causing overflows and exceeding the treatment capacity at the wastewater treatment facility were prioritized based on the severity of deterioration the greatest number of deficiencies.

The resulting 2019 final EPG report is uploaded as part of our Engineering Report uploaded at Question_12764. It identified the streets that exhibited the most severely deteriorated sewer mains and manholes. New Paltz Village has been using the recommendations of the NYS DEC EPG final report to successively address portions of the sanitary sewer collection system most in need of replacement or relining.

New Paltz has completed multiple projects to address the Consent Order by replacing or relining aging sewer mains to reduce I & I. The Village has undertaken replacement and relining using Village funds and eight (8) CDBG projects. The first six CDBG projects are completed. Those projects kept us current with NYSDEC's stipulations for continued progress.

So far we have secured funding for the following portions of the sanitary sewer system requiring replacing or relining that were identified in the Engineering Planning Grant Final Report:

- OCR #793PW115-20 will address Orchard Lane, Elting Avenue, Lincoln Place, South Chestnut Street (portion), Overlook Road and Mohonk Avenue. The project was bid in

June 2022. A construction contract will be awarded in August 2022.

- OCR #793PR80-21 will address sewer main replacement and/or relining on Huguenot Street, Tricor Avenue, Colonial Drive and North Chestnut Street. This was approved by CFA in December 2021 and will proceed once a Archeological Phase IA study is completed in July 2022.

In determining the work scope for this CFA 2022 request, Brinnier & Larios, PC considered and described within their Preliminary Engineering Report three alternatives to address the continued need to further reduce I & I. For this project Brinnier & Larios again considered the various stretches of old sewer main identified by the Engineering Planning Grant Final Report. Our engineer identified the sewer mains and manholes on South Chestnut Street, Harrington Street and Prospect Street as the areas most in need of replacement or rehabilitation. Each alternative considered is summarized below:

Alternative #1 - The null alternative - not taking any action to correct the situation.

Under this alternative, sanitary sewers would continue to overflow throughout the Village and the sewer plant would continue to be in violation of its SPDES Permit and the Village will continue to be subject to fines and penalties. This alternative was not chosen.

Alternative #2 - Install new sanitary sewers and manholes in alternative locations.

This alternative would not be feasible as the existing sewer lateral connections would need to be relocated at significant additional cost. This alternative would eliminate the cured in place pipe lining option which saves the owner significant cost and reduces disturbance to the existing infrastructure (roadway pavement, curbing, sidewalks etc.). This alternative was not chosen.

Alternative #3 - Replace sewer mains and manholes in the same location

The installation of new gravity sanitary sewer lines and manholes and reconnecting existing users to the new and rehabilitated construction in the same location as the existing system is the only available option.

A factor in choosing the selected alternative was the potential for future development. The areas that are being considered for sanitary sewer replacement will unlikely be experiencing any population growth in the foreseeable future. This is since there are existing properties that currently are utilizing the sanitary sewer that is proposed to be replaced and no new construction or population increase is anticipated in this area.

As our CFA 2022 project work scope we will replace the following sections of sewer that were also identified by the EPG Final Report, based on our choice of the "installation of new gravity sewer lines and manholes and reconnecting existing users to the new and rehabilitated construction in the same location as the existing system":

South Chestnut Street

Direct Replacement of 680 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 19 Sanitary Sewer House Laterals

Harrington Street

Direct Replacement of 610 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 18 Sanitary Sewer House Laterals

Prospect Street

Direct Replacement of 1160 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 5 Sanitary Manholes
Reconnection of 25 Sanitary Sewer House Laterals

The budget for this CFA 2022 project is based on a May 2022 Brinnier & Larios cost estimate. Total costs are \$914,450. \$72,450 will replace aging sewer mains by installing 2,450 LF of new 8 inch sewer mains, replace 13 manholes, make 62 lateral re-connections, provide site preparation, traffic control and road restoration. Other costs are Engineering (\$95,000) and Administration (\$47,000). The Village has made a firm commitment to contribute \$47,000, specifically to pay for administrative costs.

Despite Village's concerted efforts, the Consent Order will remain in effect until DEC is satisfied that hydraulic overloading as a result of I & I is sufficiently reduced to ensure public health and safety. New incidents of sewer overflow violations in 2013 precipitated a re-written Consent Order in 2014, a \$5,000 fine, a directive to investigate suspect areas of the sewer system and a mandate to continue sewer replacement/relining projects annually or face daily fines up to \$37,500. As noted, incidents of non-compliance have continued into 2022. Under the terms of the re-written 2014 Consent Order, New Paltz must continue to replace the oldest portions of its sewer collection system with the greatest impact on hydraulic overloading and sewer spills.

The improvements proposed in this CFA 2022 application are critical to the health and safety of the all Village residents by eliminating overflowing sewer manholes and by preventing raw sewage from reaching the Wallkill River.

This proposed CFA 2022 project will: (1) eliminate potential public health threats related to manholes overflowing with sanitary sewage; (2) minimize property damage by eliminating sanitary overflows onto private property and into residences, (3) bring the Village into compliance with the Federal Clean Water Act and NYS Environmental Conservation Law, (4) avert further NYS DEC fines and (5) improve Wallkill River water quality.

The project's benefit area is the entire Village and the majority of the Village's population is a low to moderate income group with Census Tract classifications 9534 block groups 1, 2 & 3 and 9535 block groups 1 & 2.

Project costs are based upon a May 2022 Opinion of Probable Costs prepared by Brinnier & Larios, P.C. Their cost estimate is current and is specific to the proposed activities proposed by this 2022 CFA OCR Public Infrastructure application. A copy of the Preliminary Engineering Report which includes the May 2022 Opinion of Probable Costs is a CFA upload at Question_12674.

The specific objectives of our proposed CFA 2022 application are listed below:

- Eliminate the public health and safety issues stemming from overflowing sewer manholes that effect the entire Village of New Paltz.
- Reduce stormwater inflow into the sewer plant from an inadequate sewage collection system routinely causes the plant to be overloaded rendering it not capable of adequately treating sanitary sewage causing raw sewage to be discharged into the Wallkill River.
- Meet the Goals indicated in the March 2014 NY Rising Community Reconstruction Program (Ulster NY Rising Community Reconstruction Plan).
- Reflect the objectives outlined in the New York State START-UP NY program,
- Comply with the NYSDEC Order on Consent that was executed as recently by the Village of New Paltz on October 17th 2014 and by the NYSDEC on October 20, 2014.
- Comply with the goals and objectives associated with the NYSDEC Engineering

Planning Grant recently awarded to the Village of New Paltz to identify the remaining deficient sewers and manholes and eliminate remaining sources of inflow and infiltration.

No special features will result in an unusual or costly design.

Since the project involves replacement existing sanitary sewer infrastructure, the only regulatory approval (apart from NYS OCR for bid package approval) is NYS DOT approval to replace the sewer main in South Chestnut Street as it is a State road..

Long term operation and maintenance of these improvements will be undertaken by the Village of New Paltz Department of Public Works as part of the Village's overall sewer system operation. We have uploaded a copy of our sanitary sewer collection system Facilities Maintenance Plan.

We will not encounter site control issues. Sewer main installation will occur within existing publicly owned rights-of-way on South Chestnut Street, Harrington Street and Prospect Street. A letter signed by Mayor Rogers confirming site control is uploaded as an attachment at Question_1488.

A construction contract will be awarded through public bidding in accordance with all Federal, State and Local procurement requirements utilizing Federal and State prevailing wage rates.

Q_12686 Explain the positive impact of the NYS CDBG funds on the total cost of the project and to the beneficiaries of the project and why NYS CDBG funds are required to carry out the proposed activity. Explain the need for public investment.

The requested \$867,450 in CDBG funds plus a \$47,000 firmly committed Village contribution will fund all of our proposed "Consent Order Compliance Sewer Remediation Identified by EPG Final Report" project.

The Median Household Income (MHI) of New Paltz Village is \$46,528 as per the 2020 American Community Survey 5-Year estimate. The New Paltz Village sanitary sewer user base has been calculated at 2,880 EDU's, of those 2,192 are residential.

The typical cost of sanitary service per EDU is now \$394.05 per year, composed of the following:

- Existing O&M cost of sewer utility = \$1,134,873 is budgeted for 2022/2023 as shown page 14 of 20 of current Village budget (see attached page). Per EDU cost of O & M is \$394.05; and

- Current Debt Service of sewer utility - no debt service budgeted in 2022/2023 Village budget.

Current sewer user costs are the sum of O&M and debt service charges (\$394.05 + \$0.00 = \$394.05). \$ 394.05 presently 0.85% of MHI.

The typical sewer user also pays on average \$660 per year for water service. Water charges rose substantially in 2021 as the Village completed a project to make required upgrades at its water filtration plant. That locally funded project totaled \$5,531,500 and was "all loan" without any grant assistance.

In 2021 the Village submitted this proposed project for the IUP Multi-Year List via the EFC's PLUS system. During the latter part of 2021 EFC scored this project at 106 points. Refer to the excerpt from the 2021 Multi-Year List IUP showing our project as C3-7299-03-00 uploaded at Question_12081.

In 2022 EFC reduced the scoring line for hardship status (0% loan) to 41 points. The scoring line for a subsidized loan interest rate was reduced to 34 points. Our proposed

thus qualifies for the hardship 0% interest rate.

A 28 year CWSRF loan for \$867,450 at the 0% rate would result in an additional \$30,980 in debt service per year or \$10.76 more per EDU.

Without CDBG the new cost per EDU per year would be the sum of the existing \$394.05 plus \$10.76 in new debt service. The resulting \$404.81 typical charge per EDU would be 0.87% of MHI.

With CDBG funds Village sewer users would see no additional debt service. The \$47,000 in costs beyond our \$867,450 CDBG request will be funded through Sanitary Sewer Account G8120. This \$47,000 amount is firmly committed by a Village Board motion dated May 25, 2022 and confirming letter to OCR.

Due to the completed and ongoing essential utility upgrades, water and sewer utility rates have already increased by 50% since 2012. The Village has spent \$1.1 million of its own funds to comply with the NYSDEC Consent Order in addition to the previous completed OCR CDBG projects the Village received.

Unfortunately the Village cannot pay for our proposed project out of its Sewer Reserve Fund. The "Sewer Reserve Fund" had contained \$137,000 in 2011 but \$89,000 had to be spent that year to pay for emergency repairs at the wastewater treatment facility after it suffered flooding damage from a tropical storm. As late as December 2020 the Sewer Reserve Fund contained \$40,372. On December 15, 2020 the Village had to spend \$39,969 from the Sewer Reserve Fund for emergency repairs.

With CDBG funds New Paltz Village would see no additional debt service; the cost per EDU would remain an affordable \$394.05 per year.

Q_12696 Describe the plan of finance for the proposed project and CDBG program request amount within the funding limits. Provide a clear description that details the total project cost. All projects must include the Preliminary Budget Form. Not clearly identifying all sources and uses will have a negative impact on the application review.

Our plan of finance is detailed in our uploaded Preliminary Budget Form. The information found on that uploaded form is summarized here.

The Village of New Paltz does not claim the co-funding ceiling of \$1,250,000. Instead we have submitted a CDBG request for less than the \$1,000,000 ceiling for single jurisdiction Public Infrastructure projects.

Our total project budget is \$914,450. Of that amount \$47,000 is firmly committed to this project through Village of New Paltz local funds (Sanitary Sewer G8120 account). That local commitment is firmly committed via (1) a May 25, 2022 letter addressed to OCR signed by Mayor Rogers and (2) a May 25, 2022 Village Board resolution. These documents are uploaded in the Documents section at Question_12673.

Our plan of finance is summarized below:

\$914,450 = Total Project Cost

\$867,450 = CDBG Request for Construction and Engineering

\$47,000 = New Paltz Village Sanitary Sewer G8120 account funds firmly committed by Village for Administrative Services of an experienced CDBG administrative consultant

Q_12671 Explain the proposed NYS CDBG program budget. Identify each of the estimated costs,

including the source of the funds and proposed use. For each source identified, indicate whether the source is formally committed or pending approval. Please be specific and list each source in a consistent format. This explanation of your program budget must be consistent with the budget table included in this application, as well as the Preliminary Budget Table required as an attachment to this application. If a source is identified as committed, the applicable commitment letter must be attached where requested in the application. This must fully address the total project and include CDBG and all other funding.

Below is a summary of the proposed NYS OCR CDBG Program Budget:

1. Construction (Sewer Main Replacement and Manhole Replacement - \$772,450 Total)

Source - Pending 2022 Small Cities CDBG Grant (\$772,450)

In an effort to eliminate the sewer overflows at the subject locations on Water Street, Mulberry Street, Broadhead Avenue and Huguenot Street indicated in the aforementioned NYSDEC Notice of Violation and newly entered into NYSDEC Order on Consent and reduce excessive hydraulic overloading incidents at the sewer plant which serves the entire Village, the following sewer rehabilitation will be undertaken:

South Chestnut Street

Direct Replacement of 680 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 19 Sanitary Sewer House Laterals

Harrington Street

Direct Replacement of 610 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 4 Sanitary Manholes
Reconnection of 18 Sanitary Sewer House Laterals

Prospect Street

Direct Replacement of 1160 LF Clay Tile Pipe with 8" Ø SDR 35 Sanitary Sewer
Installation of 5 Sanitary Manholes
Reconnection of 25 Sanitary Sewer House Laterals

Breakdown:

\$652,450 Construction Estimate
\$70,000 Construction Contingency
\$50,000 Construction Inspection

These costs are defined by our Third Party Cost Estimate uploaded as part of our Engineering Report at Question_12674.

2. Engineering Services - \$95,000 Total

Source - Pending 2022 Small Cities CDBG Grant (\$95,000)

Breakdown:

\$95,000 Engineering Design Including Survey (\$57,000 Engineering - Program Delivery and \$38,000 Engineering - Contract Administration)

These costs are defined by our Third Party Cost Estimate uploaded as part of our Engineering Report at Question_12674.

3. Administration Services - \$47,000 Total

Source: Firmly Committed Village of New Paltz Funds (\$47,000)

Breakdown:

\$47,000 Administrative Services (\$34,000 Program Administration and \$13,000 Program Delivery)

These costs are defined by our Third Party Cost Estimate uploaded as part of our Engineering Report at Question_12674.

Summary of Sources:

\$867,450 Pending 2022 CFA Small Cities CDBG Grant

\$47,000 Firmly Committed Village of New Paltz funds

\$914,450 Total Project Costs

The Village of New Paltz Village Board has firmly committed \$47,000 of local funds to this project. Evidence of this commitment is provided by the following documents uploaded at Question_12673, described below:

- Resolution #31 adopted on May 25, 2022 Village Board meeting containing the following language:

“Now, Therefore Be It Resolved, the Village Board of the Village of New Paltz firmly commits \$47,000 from the Sanitary Sewer G8120 account to the proposed program year 2022 CDBG project titled “Consent Order Compliance Sewer Remediation Identified by Engineering Planning Grant Final Report” to be submitted via the Consolidated Funding Application during July 2022. There is no expiration of this commitment.”

- May 25, 2022 letter of Mayor Tim Rogers to Program Director Charles Philion confirming the Village’s \$47,000 local commitment of funds to the project, quoted below:

“As you are aware the Village of New Paltz is submitting a 2022 CDBG Public Infrastructure application for sewer remediation improvements to continue compliance with the existing NYS DEC Consent Order. The Village Board by resolution on May 25, 2022, firmly committing to contribute \$47,000 to this project, specifically to cover budgeted Administrative costs. This commitment has no expiration. The \$47,000 is evidenced by the attached account printout showing that our Sanitary Sewer (G8120) account has sufficient funds to provide this \$47,000 contribution.”

and

- Village of New Paltz Budget for 2022/2023 showing Sanitary Sewer (G8120) account on page 13 of 20.

Q_12771 Provide the amount of program administration and/or program delivery funds allocated to the project from the State CDBG funds requested. Provide a description of how the funds will be allocated to cover the costs of program administration and program delivery, as well an explanation of overall program administration and program delivery tasks to be undertaken and how these activities will be funded. Include a description of how these costs were determined, regardless of the source of funds. Description must be included of the roles of any consultant, subrecipient or individual involved in program administration and program delivery. For proposed projects requesting CDBG funds these costs, please note that the budget for Program Administration cannot exceed 5% of the total CDBG request and the total for Program Delivery and Engineering combined cannot exceed 13% of the total CDBG request. For further guidance, see scoring tips.

This description of the program administration and program delivery services covers the entire scope of this project, not only the CDBG funded portion. This analysis demonstrates that the Village of New Paltz understands the process of administering a Small Cities CDBG project, especially differentiating between Program Administration vs Program Delivery and between Engineering-Program Delivery and Engineering-Contract Administration. Although segregated for analysis and demonstrative purposes, to avoid confusion our budget lists activities to be conducted by the administrative consultant entirely under "Administration" while activities to be conducted by the engineering consultant are listed entirely under "Engineering".

New Paltz Village has relevant experience with overseeing the work of Administrative and Engineering consultants as a consequence of successfully completing CDBG projects #793PW67-10, #793PW72-11, #793PR9-12, #793PR55-13, #793PR104-15, #793PR177-16, #793PR104-18. The Village's experience with these completed projects is incorporated into this explanation of anticipated Administrative and Program Delivery Services.

The entire scope of the project is funded by \$867,450 of CDBG funds and \$47,000 in firmly committed Village of New Paltz funds.

The entirety of Program Administration services will be provided using non-CDBG sources. The \$47,000 in firmly committed Village of New Paltz funds are earmarked for the \$47,000 in Program Administrative costs identified on our Preliminary Budget Table (Question_12670).

Program Administration and Program Delivery Services will be provided by Blauer Associates, an experienced CDBG consultant who has provided similar services to over 220 US HUD Small Cities and State Small Cities projects since 1978. Blauer Associates will be paid from non-CDBG Village of New Paltz funds.

The services to be provided by Blauer Associates are differentiated below into (1) Program Administration and (2) Program Delivery.

(1) Program Administration - these activities by major function will include the following services and are estimated not to exceed \$34,000 over the up to 24 month implementation period

Getting Started:

- Coordinate execution of Grant Agreement;
- Attend OCR webinars in conjunction with Village Local Grant Contact (#1 Getting Started, #2 ERR, #3 Financial Administration and #4 General Program Administration);
- Prepare Project Files;
- Prepare Form 1-1 Authorized Signature;
- Prepare Form 1-2 Designation of Depository;
- Prepare Form 7-2 Program Schedule;
- Prepare Form 8-1 Project Team;
- Assist Village to re-adopt its Fair Housing Plan and to approve same by resolution;
- Prepare legal notice to advertise re-adopted Fair Housing Plan;
- Assist Village to re-adopt Section 504 Grievance Procedure, re-approve same by resolution and re-advertise the same by legal notice;

- Assist Village to designate a Section 3 Coordinator by resolution;
- Assist Village to designate a Labor Standards Officer by resolution;
- Prepare Local Administrative Plan;
- Submit Local Administrative Plan to OCR for approval;
- Review Village procurement policy and suggestions modifications as necessary to comply with OCR requirement for MBE/WBE/Section 3 participation;
- Review Village Employment Policy to make certain equal opportunity, Section 504 and Section 3 language is included.

Disbursements:

- Assemble project activity invoices;
- Review invoices to confirm CDBG edibility;
- Prepare Form 1-5 Public Infrastructure - Facility Request for Funds as necessary;
- Coordinate signing of Form 1-5;
- Assemble related invoices and certified payrolls as support documentation for Form 1-5;
- Submit completed Form 1-5 by email to disbursements@nyshcr.org,
- Revise and resubmit Form 1-5 as may be required by OCR; and
- Prepare Budget Modification forms as required;
- Prepare letters for Village to produce to accompany Budget Modification forms.

Procurement:

- Determine the method of procurement that may be required for a prospective purchase of services, contract labor or materials with reference to local and federal procurement standards;
- Prepare RFQ legal notice and backup material to aid Village in soliciting proposals for legal services, if required;
- Assist Village to develop lists of potentially interested vendors and lists of MBE/WBE/Section 3 vendors by consulting with US HUD and NYS Empire State Development Corporation search functions;
- Assist Village as necessary to summarize scoring results for submission of procurement results to OCR, where necessary;
- Review proposed professional services agreements to make certain OCR required contract language is included;
- Submit copies of proposed professional agreements to OCR in those instances where CDBG funds will pay all or part of those professional services fees, and
- Review proposed billing methods to confirm conformance with federal OMB Circular A-102.

Reporting:

- Prepare Annual Performance Reports as necessary;
- Prepare Labor Standards Enforcement Reports as necessary;
- Prepare CDBG Program Income Reports as necessary;
- Prepare HUD-2516 forms to report on MBE/WBE/Section 3 contracting as necessary;
- Prepare Form 9-1 Federal Assistance Expenditures Forms;
- Coordinate with Village to set monthly progress report meetings; and
- Participate in monthly progress report meetings.

General:

- Respond to project related inquiries from OCR;
- Coordinate with project engineer;
- Respond to inquiries from Village staff;
- Attend training on CDBG grant administration requirements;
- Evaluating program results against State objectives;
- Coordinate the resolution of audit and monitoring findings; and
- Monitoring program activities for progress and compliance with program requirements.

Modifications/Amendments:

- Prepare requests for program modifications as necessary to include additional activities; and
- Prepare Program Amendments where project changes exceed the scope of activities that OCR can approve as program modifications.

Citizen Participation:

- Assist Village to respond to citizen comments about CDBG funded activity;
- Coordinate with Village to set date and time for 2nd hearing;
- Prepare legal notice to advertise 2nd hearing; and
- Attend and conduct 2nd hearing.

Monitoring:

- Attend pre-monitoring conference call;
- Assist Village to respond to Questions posed by OCR before monitoring;
- Assist Village to assemble Documents requested by OCR before monitoring;
- Participate in monitoring conference call;

- Participate in post monitoring conference call; and
- Assist Village to respond to monitoring Findings and/or Concerns, if necessary.

Closeout:

- Prepare Final Annual Performance Report; and
- Assist Village to prepare Final Closeout Forms provided by OCR.

(2) Program Delivery - these activities by major function will include the following services and are estimated not to exceed \$13,000 over the up to 24 month implementation period

Labor Standard Compliance:

- Provide updated Federal and State wage determinations to engineer for draft bid package;
- Coordinate with engineer to include make sure OCR required clauses are in draft bid package;
- Submit draft bid package to OCR for approval;
- Coordinate with engineer to make revisions as may be required by OCR;
- Compile outreach list of MBE/WBE/Section 3 construction firms for purpose of direct outreach;
- Work with Village to advertise construction bid notices in minority newspapers, trade journals and the NYS Contract Reporter;
- Reconfirm Federal wage determination 10 days before bid opening;
- Review apparent low bidder debarment status at www.sam.gov and NY DOL;
- Reviewing Project Sign template requirements with prime contractor;
- Coordinate with engineer and Village to set pre-construction meeting;
- Attend pre-construction meeting;
- Produce pre-construction minutes of labor standards issues reviewed with prime contractor;
- Provide OCR required labor standards documents to prime contractor(s) including posters and wage rate binder;
- Notify OCR of the start of construction;
- Review subcontractor(s) debarment status at www.sam.gov and NY DOL;
- Obtain digital photographs of Project Sign, Posters and Wage Rate Binder at the job site;
- Review weekly payrolls;
- Provide original signed payrolls to Local Grant Contact;
- Coordinate with prime contractor to obtain payroll corrections, if necessary;
- Conduct employee interview on site or by telephone with a representative number of

employees using HUD-11 form;

- Compare payrolls with HUD -11 interviews to confirm labor standard compliance;
- Report to engineer and Village about any unresolved labor standards issues;
- Require restitution for any wage or fringe under payments; and
- Report any instance of labor standards violations to OCR.

The services of Brinnier & Larios, PC, the firm selected via our completed Request for Qualifications process are segregated into Engineering - Program Delivery and Engineering - Contract Administration. The services associated with each are described below.

(3) Engineering: Program Delivery - this activity will include the following services and are estimated not to exceed \$57,000 over the up to 24 month implementation period

- Travel to site to review existing conditions and gather additional information needed for work;
- Coordinate and review project scope of work with the Village via site visits, telephone and email;
- Consult with equipment manufacturer/suppliers and outside consultants/subcontractors to develop specifications;
- Review the recommended plan with the Village and confirm the scope of work;
- Based on the final scope of work, review the project cost estimate and adjust the scope of work to maintain the project budget;
- Review the final scope of work, cost estimate, and project schedule with the Village to concur on a plan forward;
- Prepare Contract Documents for bidding and construction in accordance with NYS Municipal Law;
- Prepare specifications to include bid notice/advertisement, information for bidders, work item descriptions, bid forms, bond requirements, agreement information, general conditions, special conditions, technical specifications, prevailing wage schedules & permits;
- Obtain the most recent OCR specifications related to CDBG funded projects from the Village's grant administration consultant and integrate into the specifications;
- Prepare Contract Drawings;
- Review Contract Documents with the Village Board, and revise to address comments;
- Forward contract specifications to OCR (CDBG) for review and comments.;
- Review and address OCR comments and provide written response letter to each agency, if required, for their approval.
- Provide Village with an updated probable cost estimate at completion of design;
- Provide a copy of the bid notice to the Village Clerk for subsequent publication of the legal notice in the Village's local newspaper, and fax the notice to several contractors who have performed well on previous projects;

- Prepare for and attend a pre-bid meeting with potential contractors and Village staff to review the scope of work and project requirements;
- Reply to questions from prospective bidders, and prepare clarifications and addendums;
- Attend bid opening and pick up all bid documents from the Village for bid review;
- Review and tabulate bids;
- Provide the Village and Village's grant administrator (consultant) with bid review results and recommendations regarding award of the prime construction contract;
- Prepare and submit to the Village a Notice of Award for execution by the Mayor, and subsequently submit Owner-signed notices to the one prime contractor, for execution and return with bonds and certificates of insurance;
- Prepare and forward a letter advising all unsuccessful bidders of the bid results (OCR requirement) with copies to the Village and Village's grant administrator (consultant);
- Review contractor bonds and certificate of insurance and, if acceptable, prepare Notice to Proceed for the contract;
- Prepare and submit to the Village execution copies of the Contract Documents that include copies of the contractor's bid sheets bid, bonds, certificate of insurance, Notice of Award, and Notice to Proceed for subsequent endorsement by the Village and the successful contractor;
- Provide three sets of construction documents to the successful contractor for use during construction;
- Coordinate directly with Mayor and attend monthly Village Board meetings to apprise the Board of project activities; and
- Prepare as-built drawings upon completion of the project.

(4) Engineering: Contract Administration - this activity will include the following services and are estimated not to exceed \$38,000 over the 24 month implementation period

- Review and process contractor shop drawings and submittals as necessary to confirm that the contractor is providing the required items;
- Maintain two files containing contractor shop drawings and submittals. Provide one copy to the Village for use during construction and for future record purposes;
- Prepare for and attend a preconstruction meeting with Village staff, Village's grant administration consultant and the successful contractor to review project requirements;
- Periodically visit the project site to review progress of work;
- Review and process monthly contractor payment requests including review of the draft "pencil" copy of the AIA forms, generation of final AIA payment request cover sheet form and spreadsheet form to substantiate the costs;
- Obtain confirmation from the Village's grant administrator (consultant) that the contractor's monthly certified payroll is acceptable;
- Submit recommendation for payment letter, with cost information for each contractor payment request to the Village with copy to the Village's grant administrator;

- Process project change orders;
- Discuss project activities with the on-site representative; and
- Prepare contract close-out package, including the certificate of substantial completion, contractor's affidavit of release of liens and final payment request and forward to the prime contractor and Village for processing.

In summary, \$47,000 in committed Village funds will pay for Administrative and Program Delivery services provided by Blauer Associates. Engineering services in the amount of \$95,000 will be paid from CDBG funds. Brinnier & Larios, PC was selected via a Request for Qualifications process completed in June 2022. The Village will execute a contract with that firm in January 2023 if this CFA CDBG project is approved.

Q_12672 Briefly describe what other sources of funds been pursued for this project. Provide clear explanation and identify all sources. If alternative funding (co-funding) has been obtained or applied for, supporting documentation that co-funding is available and committed at the time of application must be attached. Upload supporting documentation in the Commitment Letters attachment or the Documentation of unsuccessful attempts to pursue non-CDBG funding sources attachments. Statements that describe a self-analysis of other funding sources do not constitute an effort to pursue other funding.

The Village of New Paltz is requesting CFA 2022 CDBG assistance since the Village cannot finance this \$867,450 project without assistance. If this 2022 CFA OCR Small Cities request is not funded the Village will have no choice but to proceed through borrowing. The penalty for not proceeding would be steep; if the Village does not complete this proposed project during 2023/2024 it can be fined by NYSDEC up to \$37,500 per day.

The Median Household Income (MHI) of New Paltz Village is \$46,528 as per the 2020 American Community Survey 5-Year estimate. The New Paltz Village sanitary sewer user base has been calculated at 2,880 EDU's, of those 2,192 are residential.

The typical cost of sanitary service per EDU is now \$394.05 per year, composed of the following:

- Existing O&M cost of sewer utility = \$1,134,873 is budgeted for 2022/2023 as shown page 14 of 20 of current Village budget (see attached page). Per EDU cost of O & M is \$394.05; and

- Current Debt Service of sewer utility - no debt service budgeted in 2022/2023 Village budget.

Current sewer user costs are the sum of O&M and debt service charges (\$394.05 + \$0.00 = \$394.05). \$ 394.05 presently 0.85% of MHI.

The typical sewer user also pays on average \$660 per year for water service. Water charges rose substantially in 2021 as the Village completed a project to make required upgrades at its water filtration plant. That locally funded project totaled \$5,531,500 and was "all loan" without any grant assistance.

We considered two alternatives to CDBG, described below:

USDA Water & Waste - USDA RD approved our \$5,531,500 water filtration plant upgrade. Since the offer was "loan only" the Village proceeded using local bonding to save on administrative costs. The project was bid in 2017 and was completed in 2021. This \$5,531,500 water filtration plant upgrade was in addition to \$1 million the Village borrowed to replace one of its water tanks in 2012 (partially funded by OCR project #793PR72-11). Since our USDA RD water system request of \$5,531,500 received a

"loan only" offer at RD's intermediate rate, it is very unlikely that USDA would offer anything other than another loan offer for this more modest \$867,450 sewer project. Again traditional bonding would be less expensive without USDA RD compliance related administrative costs.

NYS EFC CWSRF - In 2021 the Village submitted this proposed project for the IUP Multi-Year List via the EFC's PLUS system. During the latter part of 2021 EFC scored this project at 106 points. Refer to IUP Multi-Year CWSRF excerpt uploaded at Question_12081.

In 2022 EFC reduced the scoring line for hardship status (0% loan) to 41 points. The scoring line for a subsidized loan interest rate was reduced to 34 points. Our proposed thus qualifies for the hardship 0% interest rate.

A 28 year CWSRF loan for \$867,450 at the 0% rate would result in an additional \$30,980 in debt service per year or \$10.76 more per EDU.

Without CDBG the new cost per EDU per year would be the sum of the existing \$394.05 plus \$10.76 in new debt service. The resulting \$404.81 typical charge per EDU would be 0.87% of MHI.

Due to the completed and ongoing essential utility upgrades, water and sewer utility rates have already increased by 50% since 2012.

The Village has spent \$1.1 million of its own funds to comply with the NYSDEC Consent Order in addition to the previous completed OCR CDBG projects the Village received.

Unfortunately the Village cannot pay for our proposed project out of its Sewer Reserve Fund. The "Sewer Reserve Fund" had contained \$137,000 in 2011 but \$89,000 had to be spent that year to pay for emergency repairs at the wastewater treatment facility after it suffered flooding damage from a tropical storm. As late as December 2020 the Sewer Reserve Fund contained \$40,372. On December 15, 2020 the Village had to spend \$39,969 from the Sewer Reserve Fund for emergency repairs.

Until 2020 the Village Board had annually deposited \$10,000 to the "Sewer Reserve Fund". However, our sewer revenue was vastly reduced during the 2020/2021 COVID pandemic; much of that loss stemmed from the closure of SUNY New Paltz. It was impossible to add \$10,000 per year to the Sewer Reserve Fund during 2020 and 2021. Our Treasurer plans to deposit \$75,000 to the Sewer Reserve Fund in May 2023 at the end of our current 6-1-22 to 5-31-23 fiscal year in order to catch up on re-capitalizing the Sewer Reserve Fund.

With CDBG funds New Paltz Village would see no additional debt service; the cost per EDU would remain an affordable \$394.05 per year.

The following documents are uploaded at Question_12081:

- 2020 American Community Survey 5-Year MHI estimate screenshot showing the Village of New Paltz' \$46,528 MHI;
- Screenshot from current CWSRF IUP Multi-Year List showing 106 point score for the Village's proposed Consent Order Compliance Sewer Remediation Identified By Engineering Planning Grant Final Report project; and
- Account statement for Village's Sewer Capital Reserve Account.

Q_12684 Is the proposed project subject to the formation of a new water or sewer district? If yes, provide a brief description of the process, including the status of site control, and dates of any public referendum and/or district formation, including any pending NYS Comptroller review or

approval of a new district. Provide a statement for the need for any permanent or temporary easements that may be required to undertake the proposed project. Provide as an attachment the Map-Plan-Report.

Not Applicable - The entire Village of New Paltz is served by the existing sanitary sewer collection and treatment system. No portions of the Village are still served by on-lot sewage disposal systems. Formation of a new sewer district is not required for this project to proceed. The Village will replace existing sanitary sewer mains currently in use as part of our sanitary sewer collection system.

Q_12689 All public water and sewer projects have a cost for a new hookup, whether to an existing system or new system. Provide a brief description to explain how the proposed project will reduce or eliminate the cost of burden of connecting low-and-moderate income households to the system. For projects providing new access to public water or sewer, for low-and moderate-income households, this should include decommissioning of existing wells and septic and addressing potential internal plumbing modifications.

As noted on our Public Infrastructure Activity Detail form, the Village of New Paltz has no undeveloped properties that could be developed for residential use. All existing occupied residential dwelling units, commercial properties, industrial properties and institutional properties connect to the Village's sanitary sewer system when that system was originally created. No portion of the Village is served by on-lot sewage disposal systems.

This project does not provide new access to public water or public sewer service. Instead our project involves replacing deteriorated portions of the sanitary sewer collection system. No new users will be served.

There is no conceivable potential for low and moderate income households needing to (1) decommission existing septic systems, (2) install a sewer lateral to the street and/or (3) need to modify internal plumbing connections to connect to the public sewer system.

However unlikely, in order to address this issue and assist LMI households, the Village is prepared to provide grant assistance through its ARPA funds to assist LMI households connect to the Village sewer system provided they demonstrate through current income information that they qualify as low and moderate income. The availability of such potential assistance will be posted at the Village's Building Department Office.

Q_12703 Will the project be ready to commence upon award? Describe any potential impediments to timely commencement and completion of the project, such as extensive environmental concerns, funding gaps, or the need to acquire easements on private property. Please see scoring tips for additional information.

New Paltz Village's proposed Consent Order Compliance Sewer Remediation Identified by EPG Final Report Project is ready to proceed in December 2022 on account of efforts completed prior to CFA application submission. The Village is certifying that it can complete this project within 24 months of CFA approval - assumed to be December 15, 2022. Based upon our Program Schedule presented below, we actually expect completion in 13 months with submission of a Final Annual Performance Report project by January 15, 2024.

During a May 2022 CDBG application webinar we learned from OCR staff that if awarded a grantee must certify that it will have a construction contract signed within 6 months of the CFA award announcement. The applicant's Environmental Review Record (ERR) will be, starting with the CFA 2022 round, a required CFA document upload. Additionally the Village learned that OCR expects grantees to publish their NOI-RROF or FONSI-NOI-RROF environmental legal notice within 30 days of CFA announcements. The Village has taken the prescribed steps prior to July 29, 2022 that allow us to certify to OCR that this project, if approved, will be completed within 24 months.

One important factor in certifying to being under contract with a construction contractor within six months is completing procurement for professional services. New Paltz has completed its procurement procedures as follows:

- Administrative Consultant - The Village followed its own procurement procedures and chose Blauer Associates to provide post approval administrative services should its CFA 2022 CDBG project be approved in December 2022. Blauer Associates would proceed with administrative services as soon as CFA awards are announced. One such action will be to request OCR permission to publish a NOI-RROF legal notice on December 29, 2022. Blauer Associates will be paid entirely from local (non-CDBG) funds.

- Engineering Consultant - The Village used a Request for Qualifications process in Spring 2022 and selected Brinnier & Larios, PC for engineering design and contract administration functions should this CFA 2022 project be approved. The Village would enter into a contract with Brinnier & Larios during January 2023. Brinnier & Larios would be paid from CDBG funds.

Total project costs for this project are \$914,450. Of that \$47,000 in local Village funds are firmly committed by means of a letter signed by the Mayor and a confirming Village Board resolution. The \$867,450 balance, if approved through CFA, completes our funding package. No other funding beyond \$867,450 from CDBG would be needed.

For purposes of this schedule we are assuming CFA approval on December 15, 2022 and OCR offering a Grant Agreement on December 30, 2022.

No portions of the project have been started, no construction is underway. No subsequent actions are necessary beyond the improvements requested by our project.

Based upon the above assumptions, the Village of New Paltz is certifying that it will (1) publish its NOI-RROF legal notice on December 29, 2022 and that (2) it will bid, award and sign a construction contract by the end of June 2023. Our actual projected construction signing date is June 26, 2023.

Our certification to the above is based on the following steps we took prior to CFA submission:

NEPA Environmental Review Record - The Village has uploaded within Documents a completed ERR that contains its certifying officer resolution, signed forms 2-1, 2-3, 2-3A, 2-4 and 2-5, TDAT consultation requests, TDAT responses, comment requests (NYS Ag & Markets, NYS DEC Natural Heritage, US DOI Fish & Wildlife & NYS DEC SHPO), SEQRA Type II project determination resolution and Engineering Report. Clearance were received from the Stockbridge-Munsee Community, NYS DEC Natural Heritage and NYS DEC SHPO. Three of the four TDAT entities contacted, NYS AG & Markets and the US DOI Fish & Wildlife did not respond within 30 days. Our ERR includes an analysis of the species identified by NYS DEC Natural Heritage demonstrating none are effected by sewer mains and sewer manholes within public roadways. The Village agreed to archaeological monitoring of the areas where CDBG funds would be used for sewer main and sewer manhole replacement. Our pre-existing archaeological monitoring plan is being implemented in 2022/2023 for a NYS EFC DWSRF water main replacement and can be readily applied using the same archaeological consulting firm to the CFA 2022 CDBG sanitary sewer project. Our proposed CFA 2022 project area is outside of the 100 year floodplain. The Village is ready to publish its Notice of Intent to Request Release of Funds (NOI-RROF) on December 29, 2022, assuming CFA grant announcements on December 15, 2022.

Procurement - The Village selected a Administrative Consultant (Blauer Associates) and has firmly committed \$47,000 via letter and resolution to pay that firm's fees for post approval administrative services using Village funds. No portion of that firm's fees for Administrative Services will be requested for reimbursement through CDBG. The Village selected an Engineering Consultant through a Request for Qualifications (RFQ) process

that complied with NYS OCR standards for engineering selection. The RFQ was published on April 13, 2022, responses were due May 12, 2022 and Brinnier & Larios, PC was selected by Village Board motion as top scoring respondent on May 25, 2022. Outreach included direct mailing to MBE firms, WBE firms, Section 3 firms and firms likely to want to submit a RFQ response. The Village will enter into a contract with Brinnier & Larios, PC contingent only upon CFA approval of this CDBG grant request.

Based upon the above actions undertaken before CFA 2022 submission, the schedule below accurately represents how this project will be implemented including specific milestones and dates. On account of the above-named actions taken before CFA application submission, we will complete this project within 12 months of CFA award announcements.

Retain Grant Administrator (locally funded) - completed April 2022

Procure Engineering Services via RFQ Process - completed June 2022

Conduct Environmental Review (ERR) - started April 2022 completed July 2022

Submit application via CFA - completed July 2022

Notification of Funding Award - estimated December 2022

Publish NOI-RROF Legal Notice - December 29, 2022

Execute Engineering Services Contract - January 2023

NYS OCR CDBG Release of Funds - estimated January 26, 2023

Project Design - January 2023 to March 2023

NYS OCR Bid Package Approval - March 2023

NYS DOT Plan & Specifications Review - April 2023

Finalize Bid Documents - April 2023

Construction Bidding - April 2023 to May 2023

Award & Execution of Construction Contract - June 2023

Construction - July 2023 to December 2023

Advertise and Conduct 2nd Public Hearing - December 2023

Final Disbursement Request - January 2024

Project Closeout - January 2024 to February 2024

CFA scoring tips ask us to address the following:

“Factors such as completion environmental review, agency and regulatory review, final design, permitting and description of the bidding process must be addressed. Projects that do not provide the clear ability to make substantial progress within six (6) months may not be considered for funding.”

Environmental Review - ERR is completed. We just need to publish our NOI-RROF notice. The NOI-RROF can appear immediately after CFA announcements. We are projecting publication on December 29, 2022. The Village has already come to an agreement with the Stockbridge-Munsee, Community (THPO agency) to employ an

Archeological Monitoring Plan originally developed for a NYS EFC DWSRF project to this proposed CFA 2022 CDBG project, if approved.

Final Design - Design can be completed within 90 days since we already selected an engineering firm via a RFQ process. Design can be completed by March 31, 2023.

Permitting - During March 2023 completed plans will be submitted to NYS DOT for review and approval of the sanitary sewer replacement work within a State Road (South Chestnut Street).

Agency and Regulatory Review - The Village will submit the draft bid package to NYS OCR for its approval before bidding. This can be accomplished within 15 days of completion of design. Both Brinnier & Larios, PC and Blauer Associates are familiar with OCR bid package requirements based on submitting bid packages for numerous previous CDBG projects. We project OCR bid package approval on March 15, 2023.

Bidding - Bidding will be through a traditional sealed bid process after NYS OCR approval of the bid package. MBE, WBE and Section 3 firms will be contacted via direct mailing. The bid notice will appear in the NYS Contract Reporter and trade journals. Bidding, bid opening, bid tabulation and award recommendation can be completed in May and June of 2023. Contract signing and pre-construction meeting can occur in June 2023 - we project a contract signing date of June 26, 2023.

No impediments exist that could hinder our ability to proceed immediately upon CFA grant announcements, as outlined below:

- Environmental Concerns - We completed and uploaded our ERR. We have received clearance comments from Stockbridge-Munsee Community, NYS DEC Natural Heritage and NYS DEC SHPO. Other THPO's and agencies contacted did not respond within 30 days. The Village is ready to publish its Notice of Intent to Request Release of Funds immediately after CFA award announcements, specifically on December 29, 2022. As noted above, the Village has already come to an agreement with the Stockbridge-Munsee, Community (THPO agency) to employ an Archeological Monitoring Plan originally developed for a NYS EFC DWSRF project to this proposed CFA 2022 CDBG project, if approved.;

Funding Gaps - Total project cost is \$914,450. CDBG if approved provides \$867,450. New Paltz Village has firmly committed the \$47,000 balance by letter and resolution. There is no possible funding gap; and

Easements or Other Site Control Issues - The Village of New Paltz (Harrington Street and Prospect Street are Village roads) and the State of New York (South Chestnut Street is a State road) own the existing road rights-of-way where sewer replacements are proposed. Proposed improvements will occur entirely within the three public roads. No land acquisition is necessary and no easements are necessary for this project to proceed.

Q_12766 For projects that are awarded NYS CDBG funds and that intend on requesting reimbursement for costs related to NEPA, provide a description of costs how these costs were incurred in compliance with federal and local procurement. If costs related to NEPA will not be requested for reimbursement, please provide a brief description of how these costs will be encumbered. For further information, please see scoring tips.

Not Applicable - The Village of New Paltz will not request reimbursement though CDBG for costs related to NEPA compliance incurred prior to July 29, 2022.

Costs associated with NEPA compliance incurred prior to the July 2022 CFA application submission will be paid out of the Village of New Paltz' General Fund and are not part of the \$47,000 of Sanitary Sewer Account G8120 funds firmly committed to this project for post approval administrative services.

Post approval administrative services will start in December 2022 with the publication of our NOI-RROF legal notice on December 29, 2022.

The \$47,000 in Village funds earmarked for post approval administrative costs will not pay for any application or NEPA compliance related costs incurred prior to July 29, 2022.

Q_12704 The entire scope of the proposed project must be fully completed within 24 months from the date of award. Provide a clear, concise schedule of milestones that demonstrates how the entire proposed project can be completed within the 24-month time frame provided. Indicate the actual or anticipated start and end dates for all the activities. Describe the status of the project. For further information please see scoring tips.

For purposes of this schedule we are assuming CFA approval on December 15, 2022 and that OCR will offer a Grant Agreement on December 30, 2022.

Based upon the above assumptions, the Village of New Paltz is certifying that it will (1) publish its NOI-RROF legal notice on December 29, 2022 and that (2) it will bid, award and sign a construction contract by the end of June 2023. The projected date for construction bid award is June 20, 2023 while the projected date for construction contract signing/pre-construction meeting is June 26, 2023.

Our certification to the above is based on the following:

NEPA Environmental Review Record - The Village has uploaded within Documents a completed ERR that contains its certifying officer resolution, signed forms 2-1, 2-3, 2-3A, 2-4 and 2-5, TDAT consultation requests, TDAT responses, comment requests (NYS Ag & Markets, NYS DEC Natural Heritage, US DOI Fish & Wildlife & NYS DEC SHPO), SEQRA Type II project determination resolution and Engineering Report. Clearance were received from the Stockbridge-Munsee Community, NYS DEC Natural Heritage and NYS DEC SHPO. Three of the four TDAT entities contacted, NYS AG & Markets and the US DOI Fish & Wildlife did not respond within 30 days. Our ERR includes an analysis of the species identified by NYS DEC Natural Heritage demonstrating none are effected by sewer mains and sewer manholes within public roadways. The Village agreed to archaeological monitoring of the areas where CDBG funds would be used for sewer main and sewer manhole replacement. Our pre-existing archaeological monitoring plan is being implemented in 2022/2023 for a NYS EFC DWSRF water main replacement and can be readily applied using the same archaeological consulting firm to the CFA 2022 CDBG sanitary sewer project. Our proposed CFA 2022 project area is outside of the 100 year floodplain. The Village is ready to publish its Notice of Intent to Request Release of Funds (NOI-RROF) on December 29, 2022, assuming CFA grant announcements on December 15, 2022.

Procurement - The Village selected a Administrative Consultant (Blauer Associates) and has firmly committed \$47,000 via letter and resolution to pay that firm's fees for post approval administrative services using Village funds. No portion of that firm's fees for Administrative Services will be requested for reimbursement through CDBG. The Village selected an Engineering Consultant through a Request for Qualifications (RFQ) process that complied with NYS OCR standards for engineering selection. The RFQ was published on April 13, 2022, responses were due May 12, 2002 and Brinnier & Larios, PC was selected by Village Board motion as top scoring respondent on May 25, 2022. Outreach included direct mailing to MBE firms, WBE firms, Section 3 firms and firms likely to want to submit a RFQ response. The Village will enter into a contract with Brinnier & Larios, PC contingent only upon CFA approval of this CDBG grant request.

Based upon the above actions undertaken before CFA 2022 submission, the schedule below accurately represents how this project will be implemented including specific milestones and dates. As shown by the schedule, this project will be completed within 13 months.

Retain Grant Administrator (locally funded) - completed April 2022

Procure Engineering Services via RFQ Process - completed June 2022

Conduct Environmental Review (ERR) - started April 2022 completed July 2022

Submit application via CFA - completed July 2022

Notification of Funding Award - estimated December 2022

Publish NOI-RROF Legal Notice - December 29, 2022

Execute Engineering Services Contract - January 2023

NYS OCR CDBG Release of Funds - estimated January 26, 2023

Project Design - January 2023 to March 2023

NYS OCR Bid Package Approval - March 2023

NYS DOT Plan & Specifications Review - April 2023

Finalize Bid Documents - April 2023

Construction Bidding - April 2023 to May 2023

Award & Execution of Construction Contract - June 2023

Construction - July 2023 to December 2023

Advertise and Conduct 2nd Public Hearing - December 2023

Final Disbursement Request - January 2024

Project Closeout - January 2024 to February 2024

Q_12705 Units of general local government (UGLG) are the Responsible Entity for all NYS CBDG funded projects. Provide a brief description of the UGLG/RE understanding of CDBG requirements and any other applicable local State and Federal requirements that may impact the project.

The Village of New Paltz is the unit of general local government (UGLG) that will serve as the Responsible Entity for this proposed CFA 2022 NYS CBDG funded project.

The New Paltz of Village has relevant CDBG experience by successfully implementing CDBG projects #793PW67-10, #793PW72-11, #793PR9-12, #793PR55-13, #793PR104-15, #793PR177-16, #793PR104-18, #793PR115-20 and #793PR80-21. With the exception of ongoing #793PR115-20 and #793PR80-21, these projects have all been completed, monitored and closed out. In some instances actions taken as part of implementing projects will be repeated for this proposed project and are noted with an asterisk where applicable. The same Village Clerk staff, Administrative Consultant and Engineering Consultant who implemented #793PW67-10, #793PW72-11, #793PR9-12, #793PR55-13, #793PR104-15, #793PR177-16, #793PR104-18 are in place for this proposed FY 2022 project. The Village is thus prepared to proceed immediately upon the announcement of a CFA award in December 2022.

In order to demonstrate the Village of New Paltz's understanding of CDBG requirements, as well as any other applicable local State and Federal requirements, we have provided

the following list of anticipated actions we will undertake and their estimated timing.

- Assumed CFA Approval (December 15, 2022);
- Publish NOI-RROF Legal Notice (December 29, 2022);
- Execute Grant Agreement (December 30, 2022);
- Produce Project Team Form 8-1 (January 5, 2023);
- Produce Project Schedule Form 7-2 (January 5, 2023);
- Establish Project Files (January 5, 2023);
- Submit Request for Release of Funds to OCR (January 9, 2023);
- Produce Evidence of Bonding and Insurance (January 10, 2023);
- Execute Engineering Contract with Brinnier & Larios, PC (January 15, 2023)
- Prepare Local Administrative Plan (January 20, 2023)
- Adopt Local Administrative Plan by Town Board Action (February 15, 2023);
- Designate Fair Housing Officer by Resolution* (February 15, 2023);
- Designate Labor Standards Officer by Resolution* (February 15, 2023);
- Adopt Section 504 ADA Grievance Procedure Policy* (February 15, 2023);
- Publish Fair Housing and Section 504 ADA Grievance Procedure Notices* (March 1, 2023);
- Participate in OCR webinars- #1 Getting Started, #2 ERR, #3 Financial Administration and #4 General Program Administration (January 2023 to March 2023 projected);
- Final design (start January 2023 and complete March 2023);
- NYS DOT Plan Review (start March 2023 and complete April 2023);
- NYS OCR bid package approval (March 15, 2023);
- Finalize bid documents (April 15, 2023);
- Construction bidding (start April 2023 and complete May 2023);
- Construction contract award (June 20, 2023);
- Execute construction contract (June 26, 2023);
- Pre-construction meeting (June 26, 2023);
- Labor standards compliance (start June 2023 and complete December 2023);
- Construction (start July 2023 and complete December 2023);
- Advertise for and conduct 2nd hearing (start October 2023 and complete November 2023);
- Final disbursement (December 15, 2023);

- Submit final Annual Performance Report (January 15, 2024); and
- Project Closeout (February 2024)

Based upon the above schedule, the Village of New Paltz can complete this project within 13 months of CFA award announcements in December 2022.

Note* - Work tasks marked with an asterisk represent actions originally undertaken for our completed projects #793PW67-10, #793PW72-11, #793PR9-12, #793PR55-13, #793PR104-15, #793PR177-16, #793PR104-18 and will be repeated (either via resolution or by legal notice publication) for our FY 2022 project.

Q_12769 For public and private water and sanitary sewer, and storm water management projects, does the system currently have a Consent Orders, Notice of Violations, Orders on Consent, or any other documentation from a regulatory agency requiring a specific course of action, consistent with the proposed project, to be taken within a certain timeframe? Provide a brief description and provide the date of issuance and any updates. Provide a brief description regarding efforts made up to this point to address and notice.

The New Paltz Village sanitary sewer system does have current Consent Orders and Notices of Violations. The following documents are uploaded at Question_12767:

Item 1 - Original October 2003 NYS DEC Consent Order and January 8, 2009 Letter of NYS DEC Assistant Regional Attorney to Village Attorney;

Comment: Riverkeeper issued a Notice of Intent to Sue the Village of New Paltz for Clean Water Act Violations and the New York State Department of Environmental Conservation (NYSDEC) issued an Order on Consent to the Village of New Paltz which was executed by the Village of New Paltz on September 7, 2003. The Order reflects overflowing sewer manholes in violation of Sections 17-0501, 17-0505 and 17-0803 of the New York State Environmental Conservation Law. The Order outlined a Remediation /Restoration Schedule which the Village has been diligently adhering to the best of its capabilities to reduce inflow and infiltration throughout its sanitary sewer collection system.

Item 2 - September 30, 2013 Notice of Violation;

Comment: While the 2003 consent order was still in effect and the Village Department of Public Works reported sewage erupting from 4 subject manholes cited in the original Consent Order on June 30, 2013 and August 9, 2013.

The NYSDEC responded by issuing a Notice of Violation on September 30, 2013 citing that on two separate occasions, raw sewage overflowed manholes on Water Street, Mulberry Street, Broadhead Avenue and Huguenot Street. This again was a violation of Article 17 of the Environmental Conservation Law making the Village of New Paltz subject to fines and penalties. The Notice of Violation also indicated that Village Officials including the Mayor and Superintendent of Public Works were required to attend a technical compliance meeting on November 12, 2014 at the NYSDEC Offices in New Paltz New York. At this meeting, the aforementioned completed sewer projects were discussed and the Village presented the then currently proposed project with its intended benefits for eliminating the incidents indicated in the Notice of Violation.

Item 3- Reissued NYS DEC Consent Order (October 2014), Copy of \$5,000 Check to NYS DEC for Civil Penalty and SPDES Compliance Schedule (March 2015);

Comment: The New York State Department of Environmental Conservation issued a new Order on Consent Case No. R3-20140430-71. The Order was executed by the Village of New Paltz on October 17th 2014 and by the New York State Department of Environmental Conservation on October 20, 2014. The newly issued Order on Consent

outlined a new schedule of compliance which stipulates requirements for avoiding fines and penalties amounting to \$37,000.00 for each of the two (2) violations mentioned previously.

The Village "Respondent" was also ordered to pay thirty thousand dollars to the Department, \$5,000.00 of which was payable upon the Respondents return of the executed copy of the Order to the Department with the remaining \$25,000.00 to be suspended provided that the Respondent strictly adheres to the terms and conditions of the newly issued Order.

Attorneys for the Village negotiated a fine of \$5,000.00 but the requirements of the Order still remain standing and consist of a Schedule of Compliance that outlines remedial activities and milestones. In summary, requirements of this Schedule are as follows:

- Cease and Desist- The Village shall cease and desist any future violation of the NYS Environmental Conservation Law e.g.-overflowing manholes.
- Self-Certification- All items required by the Schedule of Compliance shall be certified that the work was completed by the date indicated in the Consent Order and that it was completed in a manner as required by the Order.
- Remedial Activities and Milestones-This requirement is divided into three parts. A report of Non Compliance, A Corrective Action Plan and a Capacity Management, Operation and Maintenance Plan.

Item 4 - January 18, 2022 Notice of Violation and February 3, 2022 Compliance Schedule;

Comment: As recently as January 18, 2022, the New York State Department of Environmental Conservation (NYSDEC) issued a Notice of Violation (NOV) to the Village of New Paltz for the 22 sanitary sewage overflows in the collection system between October 2019 and November 23, 2021. It was stipulated in this NOV that violations of the ECL are subject to penalties of up to \$37,500 per day per violation.

Following a Compliance Conference with the NYSDEC Region 3 Division of Water Engineers, the Village provided the NYSDEC with a Compliance Schedule for two proposed sewer projects as well as a NYSDEC Certificate of Compliance and a Wastewater Treatment Plant Rating Worksheet. All of these items were required by the NYSDEC as part of the Notice of Violation and were submitted prior to the required submission date.

Item 5- Reports form Village of New Paltz Department of Public Works to NYS DEC Related to Non Compliance Events, i.e. Sanitary Sewer Overflows, on April 18, 2019, October 21, 2019, October 31, 2019, November 25, 2019, February 24, 2020, May 7, 2020, August 24, 2021, September 3, 2021, October 27, 2021 and April 11, 2022;

Comment: These instances of non-compliance demonstrate that despite the Village's ongoing efforts to reduce I & I that sewer overflows are still occurring, demonstrating that the Village must continue to make progress to reduce I & I in order to avoid further fines and sanctions.

Item 6- Documents Related to Direct Sewage Overflow into the Walkill River on April 11, 2022.

Comment: On April 7th and 8th 2022, 4.32 inches of rain was recorded at the wastewater facility. This event led to the overflow of the facility's primary clarifiers, into the facility's stormwater system and into the Walkill River at a rate of approximately 350 GPM for a duration of approximately 8 hours. The total estimated discharge of untreated sanitary wastewater into the Walkill River was approximately 168,000 gallons.

During this same rainfall event, sanitary manholes on Mulberry Street, Mohonk Avenue,

Henry W. Dubois Drive and Broadhead Avenue also experienced sanitary sewage overflows. These non-compliance events at the wastewater facility and in the collection system were reported to the New York State Department of Environmental Conservation.

Q_12665 Is this a joint application between two local governments?

No

Q_1450 Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created.

Enter zero if not applicable.

20

Q_1459 Will the proposed project directly or indirectly result in the creation of permanent jobs? If so, estimate the number of permanent full-time equivalent jobs that will be created.

Enter zero if not applicable.

0

Q_12061 Is the project listed on the NYS Environmental Facility Corporation's Intended Use Plan (IUP)? Please identify if the project is listed on the Annual or Multi-Year Plan. Provide the IUP project number listing.

In 2021 the Village submitted this proposed project for the IUP Multi-Year List via the EFC's PLUS system. During the latter part of 2021 EFC scored this project at 106 points. Refer to the Final 2021 Multi-Year List IUP Screenshot uploaded at Question_12801 which identifies this project as C3-7299-03-00.

In 2022 EFC reduced the scoring line for hardship status (0% loan) to 41 points. The scoring line for a subsidized loan interest rate was reduced to 34 points. Our proposed thus qualifies for the hardship 0% interest rate.

A 28 year CWSRF loan for \$867,450 at the 0% rate would result in an additional \$30,980 in debt service per year or \$10.76 more per EDU.

Without CDBG the new cost per EDU per year would be the sum of the existing \$394.05 plus \$10.76 in new debt service. The resulting \$404.81 typical charge per EDU would be 0.87% of MHI.

Q_3345 I understand that NYS Homes and Community Renewal may require additional project information or modifications to the original project proposal as a condition of award.

Yes

Certification

General Certifications

Q_1037 By entering your name in the box below, you certify and agree that you are authorized on

behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for Minority-owned Business Enterprise (MBE)/Woman-owned Business Enterprise (WBE) participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Tim Rogers

Q_1038 By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Tim Rogers

Net New Jobs

No job answers necessary due to your associated programs.

Qualified Investments

No investment answers necessary due to your associated programs.

Total Project Cost

Total project cost: \$ 914,450

Funding Requested from Program

| Program | Amount Requested |
|--|--|
| HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities | \$ 867450 maximum funding allowed: \$1,750,000 |

Program Budget

HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities

| Use | Source | Status | Amount | Indicate Source / Comments |
|-----|--------|--------|--------|----------------------------|
|-----|--------|--------|--------|----------------------------|

| | | | | |
|--------------------------------------|---------|-------------|----------|--|
| Construction/Renovation | Federal | Anticipated | \$772450 | OCR CDBG Small Cities funds to be used for Construction, Construction Inspection and Construction Contingencies. |
| Architectural/Engineering/Soft Costs | Federal | Anticipated | \$95000 | OCR CDBG Small Cities funds to be used for Engineering Design, Survey, Bidding and Contract Administration using a consulting firm selected via a RFQ process in May-June 2022. Brinnier & Larios will proceed with design in January 2023, if funded. |
| Administration | Local | Secured | \$47000 | Village funds will be used to retain Blauer Associates to provide administrative services to assist the Village to administer CDBG funds. |

Attachment Questions & Answers

HCR - Community Development Block Grant (CDBG) - Public Infrastructure & Public Facilities

Q_12650 Citizen Participation Compliance Materials Note that If any of the required documents are not submitted with the application, they cannot be submitted after the application period closes, and the application will be deemed ineligible. Refer to the "scoring tips" section for additional guidance. Materials must include the following: Affidavit of publication of public hearing notice (see scoring tips) Copy of public hearing notice Evidence of conspicuous public posting (see scoring tips) Public hearing

minutes (quorum needed - see scoring tips)

CFA 2022 Citizen Participation Materials Upload New Paltz.pdf
[Download](#)

Q_12658 Program Income Report Form

CFA 2022 CDBG Program Income Report Upload New Paltz.pdf
[Download](#)

Q_12660 Smart Growth Compliance Form

CFA 2022 Smart Growth Impact Compliance Form Upload New Paltz.pdf
[Download](#)

Q_12652 Applicant/Recipient Disclosure/Update Form

CFA 2022 Applicant Disclosure Report Upload New Paltz.pdf
[Download](#)

Q_12663 Project Team

CFA 2022 Project Team Upload New Paltz.pdf
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Q_12661 Civil Rights – Submit a Section 3 Compliance Plan. Refer to the application tips for additional information.

CFA 2022 Section 3 Participation Plan Upload New Paltz.pdf
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Q_12662 Civil Rights - Documentation of Affirmatively Furthering Fair Housing compliance. Refer to the application tips for additional information.

CFA 2022 Civil Rights -Fair Housing Compliance New Paltz Village.pdf
[Download](#)

Q_12653 Applicant Certification Form

CFA 2022 Certification Form Upload New Paltz.pdf
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Q_12651 If the application is a joint application, a cooperation agreement and a resolution authorizing a joint application and one of the units of local government as the primary applicant. In addition, note that CDBG public hearing requirements apply to all applicants submitting joint applications. Each community will be required to hold a public hearing prior to submission.

CFA 2022 Cooperation Agreement - Joint Application New Paltz Village.pdf

[Download](#)

Q_12639 If the applicant has already entered into an agreement with a qualified Not-for-Profit subrecipient, please attach a Vendor Responsibility Questionnaire (VRQ)

CFA 2022 Vendor Responsibility Questionnaire New Paltz Village.pdf

[Download](#)

Q_12649 Provide documentation of compliance with HUD's National Objectives. Information related to the appropriate type of documentation can be found in scoring tips.

CFA 2022 Compliance with HUD's National Objective Upload New Paltz Village.pdf

[Download](#)

Q_12808 As an attachment, upload the completed Environmental Review Record. See scoring tips for list of documentation.

CFA 2022 Environmental Review Record 7-12-22 Upload New Paltz.pdf

[Download](#)

Q_12768 Provide documentation for all future commitments, financial or otherwise. Examples include evidence of a capital reserve fund or an operation & maintenance plan. For public and private water and sanitary sewer, please also attach the most current version of the municipal water/sewer budget. For public facility projects only, a supportive services plan must also be included.

CFA 2022 Future Commitments Upload New Paltz.pdf

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Q_12669 Public Infrastructure/Facility Activity Detail Form

CFA 2022 Public Infrastructure Activity Detail Form Upload New Paltz.pdf

[Download](#)

Q_12670 Preliminary Budget Table

CFA 2022 Preliminary Budget Table 7-25-22 Upload New Paltz.pdf

[Download](#)

Q_12659 For drinking water systems only, does your community water system provide drinking water to more than 3,300 people? If so, please provide your community's updated risk & emergency response plans as required by the American Water Infrastructure Act of 2018 that amended the Safe Drinking Water Act. This is a federal requirement which is separate from the existing New York State Department of Health (NYSDOH) vulnerability assessment & emergency response plan (VA/ERP) requirements.

CFA 2022 American Water Infrastructure Act of 2018 Compliance Upload New Paltz Village.pdf

[Download](#)

Q_12664 Public Facility Maintenance Plan

CFA 2022 Public Facility Maintenance Plan New Paltz Village.pdf

[Download](#)

Q_12673 Does this proposed project include leverage or co-funded dollars for the project budget? If yes, attach all applicable documentation. For public or private water and sanitary sewer, and storm water management projects, the NYS CDBG program does not require match or leverage funds to be demonstrated for basic project eligibility. If, however, projects include co-funded or leveraged funds as part of the proposed project budget, letters of commitment must be included as part of the application submission. Projects that claim leverage or co-funded dollars and do not provide documentation of availability and commitment of funds at the time of application will be negatively scored.

CFA 2022 Leverage or Co-Funded Dollars Upload New Paltz.pdf

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Q_12081 Documentation of unsuccessful attempts at pursuing non-CDBG funding sources
Refer to the Help section for additional guidance.

CFA 2022 Unsuccessful Attempts at Pursuing Non-CDBG Funds Upload 7-16-22 New Paltz.pdf

[Download](#)

Q_6946 Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

CFA 2022 Letters of Support New Paltz Village.pdf

[Download](#)

Q_1488 Purchase Agreements or other documentation that supports the status of site control.

CFA 2022 Site Control Upload New Paltz.pdf

[Download](#)

Q_12767 For public and private water and sanitary sewer, and storm water management projects, attach all applicable Consent Orders, Notice of Violations, Orders on Consent, SPDES Compliance Schedule or any other documentation from a regulatory agency requiring a specific course of action, consistent with the proposed project.

CFA 2022 Consent Order-Notices of Violation-Schedule of Compliance Upload New Paltz.pdf

[Download](#)

Q_12674 For any project that is requesting NYS CDBG funds for any public or private water, public or private sanitary sewer, storm water management programs, or other public facilities, an Engineering Report or Preliminary Engineering Report must be included. Please refer to the Request for Applications for a description of public facility activities. See scoring tips for minimum requirements.

CFA 2022 Engineering Report Upload New Paltz July 2022.pdf

[Download](#)

Q_12685 For all public facility proposals, is there a current code violation or any other form of documentation requiring the proposed improvements to be undertaken? If yes, please attach all applicable documentation.

[CFA 2022 Public Facilities Code Violations Upload New Paltz.pdf](#)
[Download](#)

Legend

[x] = Expired Program